

WHAT THE PROCESSING INDUSTRY MUST LEARN FROM THE BOEING 737 MAX CRASHES



Richard Carter
P. Eng., F. S. Eng. (TÜV Rheinland)

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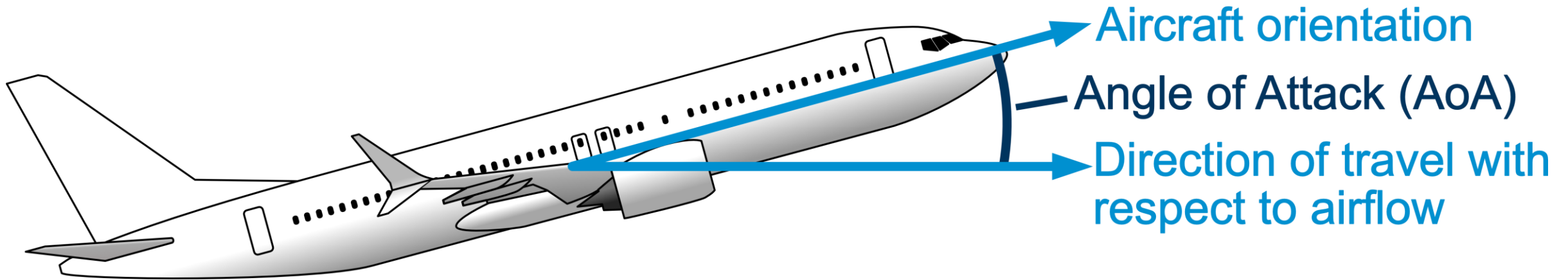


- Complex physical and electronic systems
- Operated by trained and experienced personnel
- Potentially catastrophic incidents caused by multiple failures
- Automatic and manual safeguards

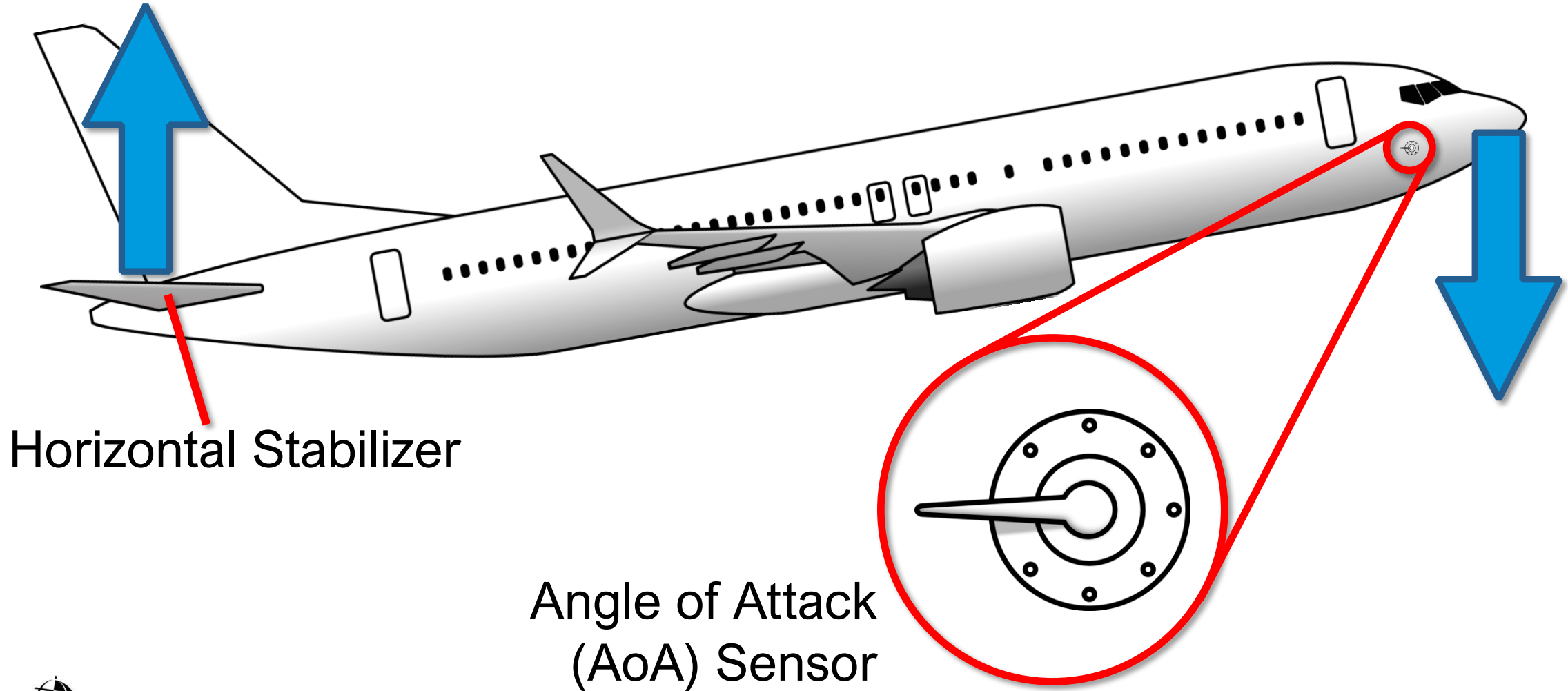


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MANEUVERING CHARACTERISTICS AUGMENTATION SYSTEM (MCAS)



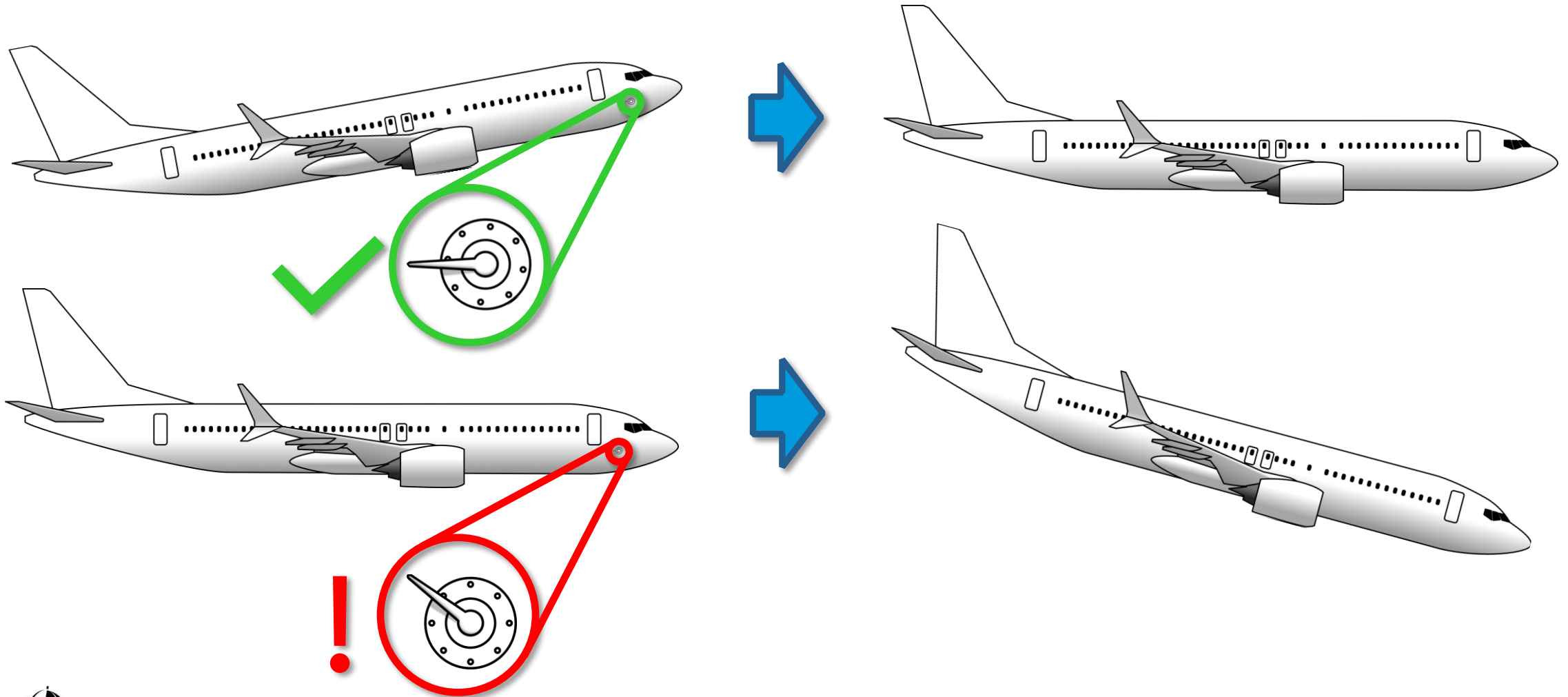
MANEUVERING CHARACTERISTICS AUGMENTATION SYSTEM (MCAS)



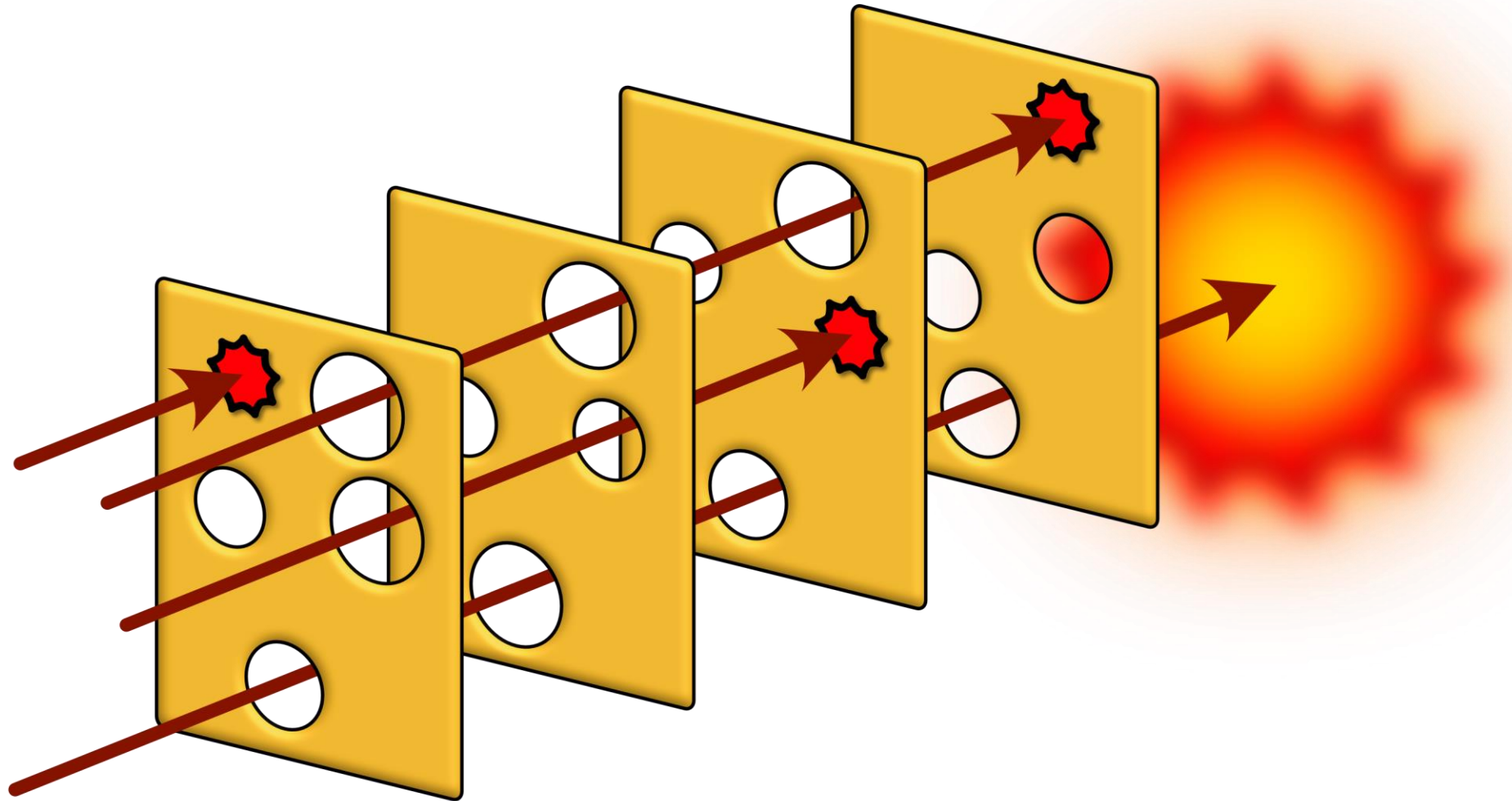
Horizontal Stabilizer

Angle of Attack
(AoA) Sensor

MANEUVERING CHARACTERISTICS AUGMENTATION SYSTEM (MCAS)



KEY LEARNINGS: EVERY LAYER IS AN OPPORTUNITY FOR PREVENTION



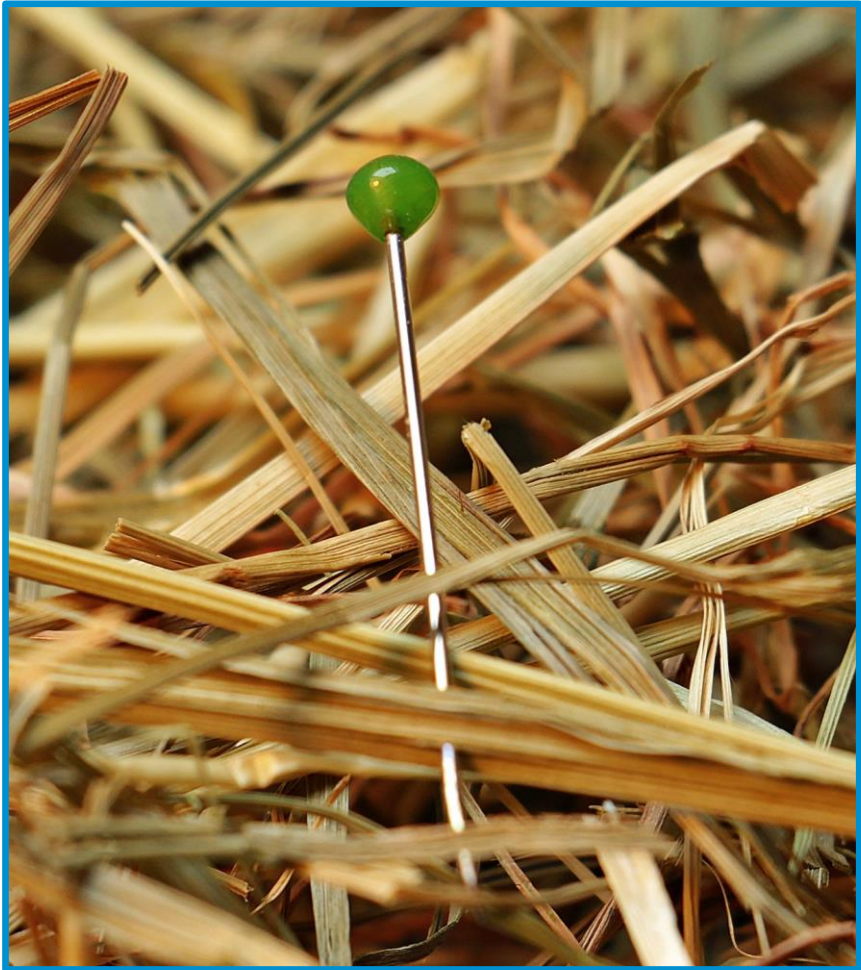
FINANCIALLY-DRIVEN DEADLINES CREATE RISK

- Racing against rival Airbus A320neo
- “Countdown clock” in conference room
- Authorized Representatives (ARs) could validate on behalf of the FAA
- 39% of Boeing ARs said they experienced “undue pressure”

RBPSM Element:
Process Safety Culture



DO NOT HIDE OR IGNORE WARNING SIGNS



- \$200-400 million impact in one contract if simulator training was required
- Simulated test flight scenario deemed “catastrophic” by test pilot
- References to MCAS removed from pilot training manual

RBPSM Elements:

Stakeholder Outreach

Training and Performance Assurance

PROVIDE APPROPRIATE REDUNDANCY

- 737 MAX fitted with 2 AoA sensors
- MCAS only took input from one of them
- AoA sensors are known to be susceptible to damage

RBPSM Element:
Asset Integrity and Reliability



VALIDATE EXPLICIT AND IMPLICIT ASSUMPTIONS



- Risk assessment assumed that flight crew would override MCAS
- But it was not clear what was going wrong, and flight crew was unaware of MCAS
- In a real scenario it can be hard to tell what the cause is

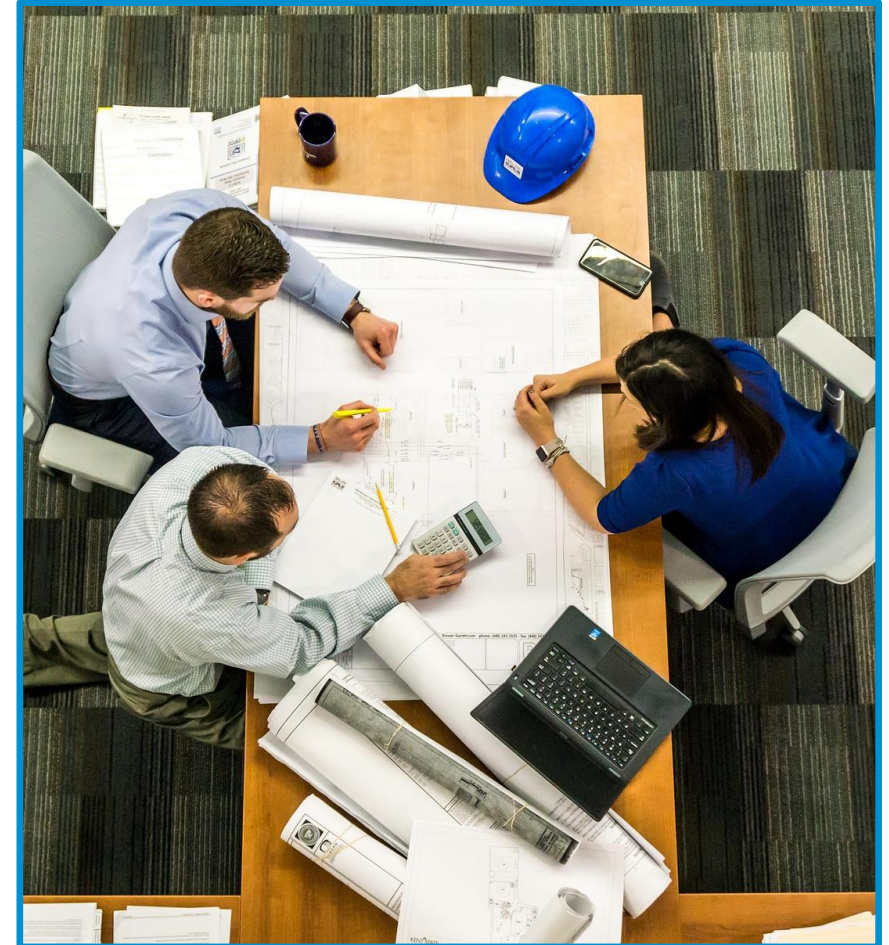
RBPSM Elements:

**Hazard Identification
Emergency Management**

REVIEW AND REVALIDATE AFTER CHANGES

- At time of risk assessment, MCAS could move horizontal stabilizer by 0.6°
- Later increased to 2.5° (~50% of full range) - more than 4 times as powerful
- Certification plans were not updated

RBPSM Element:
Management of Change



ENSURE EVERYONE UNDERSTANDS THE SYSTEM



- MCAS was not originally intended to activate multiple times
- Repeated activation of MCAS caused greater impact than intended
- Pilots were not aware of the system
- Risk assessment did not include repeated activation

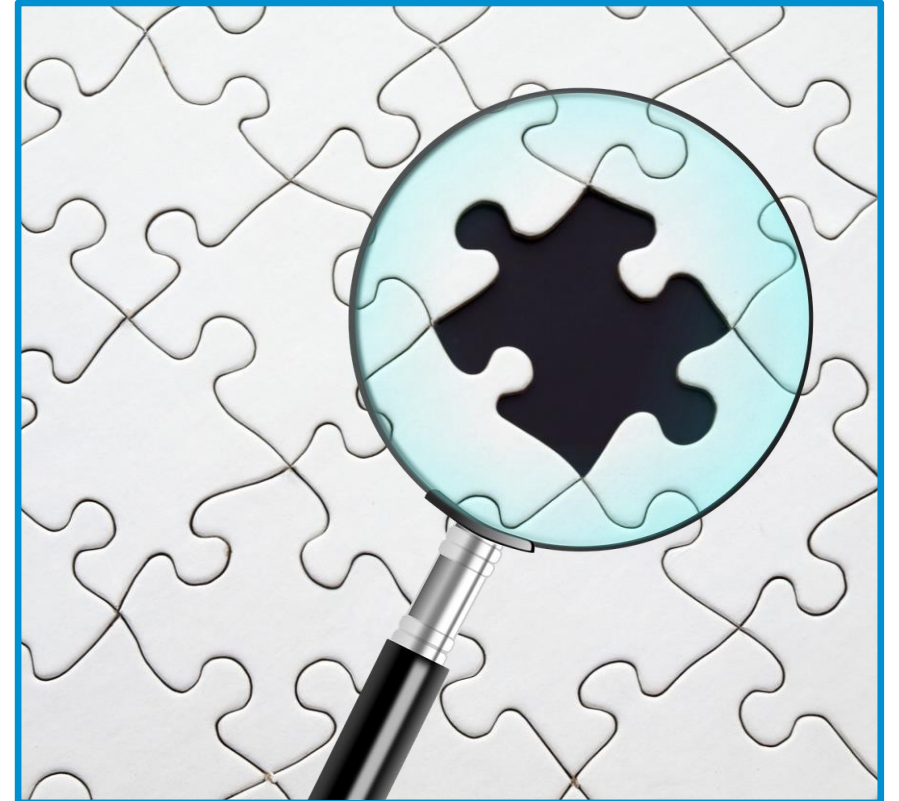
RBPSM Elements:

Training and Performance Assurance
Hazard Identification and Risk Analysis

MISSING OR INOPERABLE SAFEGUARDS REQUIRE REVALIDATION

- An AoA disagree alarm was intended
- Software was tied to an optional AoA indicator instrument
- This instrument was not installed on over 80% of 737 MAX aircraft

RBPSM Element:
Management of Change



“NEAR-HITS” ARE AN OPPORTUNITY TO PREVENT HARM



- The MCAS scenario occurred on the same aircraft the day before the first crash
- The cautions and warnings were logged but not the action the flight crew took
- Further investigation may have prevented the crashes

RBPSM Element:

Incident Investigation

CONCLUSIONS

- Balance schedule and budget with safety
- Share, and ask for, warning signs
- Test and validate risk assessment assumptions
- Revalidate when things change
- Investigate and follow up on “near-hits”

Richard Carter

P. Eng., F. S. Eng. (TÜV Rheinland)



rcarter@acm.ca



acm.ca

riskalive.com



[linkedin.com/in/richard-p-carter/](https://www.linkedin.com/in/richard-p-carter/)

THANK YOU

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